

निवेशक सेवायें प्रभाग, बोर्ड सचिवालय
Investor Services Division, Board Secretariat

Ref: ISD/20-21/56

May 21, 2020

The Deputy General Manager, Corporate Relationships Dept. BSE Ltd. Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai-400 001. Scrip Code-532 477	The Deputy General Manager, Listing Dept. National Stock Exchange of India Ltd. Exchange Plaza, Plot No.C/1, G Block Bandra-Kurla Complex, Bandra (E), Mumbai-400 051. Scrip Symbol/Series-UNIONBANK-EQ
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Madam/Sir,

Subject: Certificate of Practicing Company Secretary for the half year ended on March 31, 2020 - Regulation 40(10) of the SEBI (LODR) Regulations, 2015

Pursuant to Regulation 40(10) of SEBI (LODR) Regulations, 2015, we submit herewith a copy of certificate dated May 18, 2020 issued by Mr. B. Durgaprasad Rai, Practicing Company Secretary under regulation 40(9) of SEBI (LODR) Regulations, 2015, for the half year ended on March 31, 2020 for your perusal and information.

Thanking you.

Yours faithfully,

Mangesh Mandrekar

(Mangesh Mandrekar)
Company Secretary

Encl.: as above.

B. DURGAPRASAD RAI

Company Secretary

D1/101-Lokrachana, Amarnagar, Mulund-West, Mumbai-400 082
Tel No. 022-49716604

Cell: 9869036781

e-mail: dprai@yahoo.com

CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015, FOR THE HALF YEAR ENDED 31st MARCH, 2020.

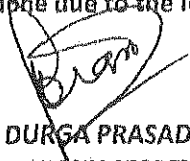
The Securities and Exchange Board of India, has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 01st April, 2019, vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amending Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015. Further, SEBI vide its press release dated 27th March, 2019, clarified that the transfer deeds lodged prior to the deadline, and returned due to deficiency in the documents may be re-lodged for transfer even after the deadline of 01st April, 2019.

Accordingly, on the basis of examination of the relevant documents relating to equity shares of UNION BANK OF INDIA, maintained and provided electronically by Datamatics Business Solutions Limited, the Registrar and Share Transfer Agents (RTA) of the Bank, pertaining to the period from 01st October, 2019 to 31st March, 2020, for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, I hereby certify that:

A) 1.a. The Company has delivered during half year ended on 31st March, 2020, share certificates relating to the transfer deeds lodged prior to 01st April, 2019, and re-lodged thereafter, received during the period from 01st October, 2019 to 31st March, 2020, within thirty days, as entered in the Memorandum of Transfers from the respective dates of lodgment.

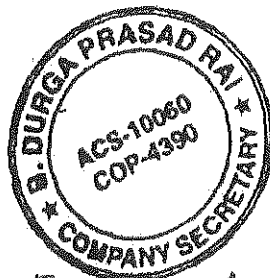
1. b. The Company has delivered during half year ended on 31st March, 2020, share certificates in respect of requests relating to transmission of securities, deletion of name and renewals, received during the period from 01st October 2019 to 31st March, 2020, within thirty days, from the respective dates of lodgment. As informed to me, there were no requests relating to transposition of securities, sub-division (split), consolidation, exchange or endorsement of calls/allotment monies received during the said period.

B) Any other information, if applicable: Physical verification of the documents could not be done due to the lockdown on account of COVID-19 pandemic.


B DURGA PRASAD RAI
COMPANY SECRETARY
ACS-10060, COP-4390

Place: Mumbai
Date: 18th May, 2020

UDIN:- A010060B000263401



B. DURGAPRASAD RAI

Company Secretary

D1/101-Lokrachana, Amarnagar, Mulund-West, Mumbai-400 082

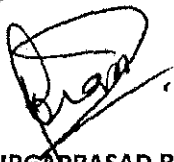
Tel No. 022-49716604

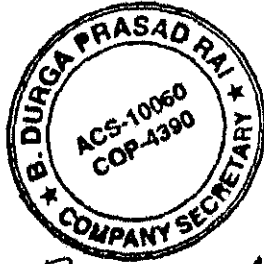
Cell: 9869036781

e-mail: dprai@yahoo.com

**CERTIFICATE UNDER REGULATION 61(4) READ WITH REGULATION 40(9) OF THE
SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED
31st MARCH, 2020**

Based on the information/details provided to us by UNION BANK OF INDIA, I hereby certify that in respect of the Bonds issued by the Bank in Demat form, no physical bond certificates were required to be delivered during the period from 01st October, 2019 to 31st March, 2020, and since all Bonds issued by the Bank are in demat mode, there were no requests for transfer, sub division, consolidation, renewal or split in physical form, pursuant to Regulation 61(4) read with Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.


B DURGAPRASAD RAI
COMPANY SECRETARY
ACS-10060, COP-4090



Place: Mumbai

Date: 18th May, 2020

UDIN:-

A010060B000263401